



Docket No.: 3519.002.00
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Thomas R. Goodwin, et al.

Customer No.: 30827

Application No.: 09/928,109

Confirmation No.: 6279

Filed: August 10, 2001

Art Unit: 3624

For: SYSTEM AND METHOD FOR TRADING
AND ORIGINATING FINANCIAL
PRODUCTS USING A COMPUTER
NETWORK

Examiner: Alain L. Bashore

MS Non-Fee Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

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AMENDMENT

Sir:

In response to the Office Action dated June 30, 2004, please amend the patent application identified above as follows:

INTRODUCTORY COMMENTS

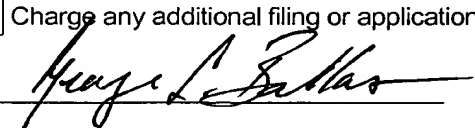
Amendments to the Claims are reflected in the listing of claims which begins on page 2 of this paper.

Remarks begin on page 7 of this paper.

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AMENDMENT TRANSMITTAL LETTER			Docket No. 3519.002.00		
Application No. 09/928,109	Filing Date August 10, 2001	Examiner Alain L. Bashore	Art Unit 3624		
Applicant(s): Thomas R. Goodwin et al.					
Invention: SYSTEM AND METHOD FOR TRADING AND ORIGINATING FINANCIAL PRODUCTS USING A COMPUTER NETWORK					
TO THE COMMISSIONER FOR PATENTS					
Transmitted herewith is an amendment in the above-identified application. The fee has been calculated and is transmitted as shown below.					
CLAIMS AS AMENDED					
	Claims Remaining After Amendment	Highest Number Previously Paid	Number Extra Claims Present	Rate	
Total Claims	19	- 20 =	0	x 18.00	0.00
Independent Claims	3	- 3 =	0	x 86.00	0.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					
Other fee (please specify): Extension of Time (one month)					55.00
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT:					0.00
<input type="checkbox"/> Large Entity <input checked="" type="checkbox"/> Small Entity					
<input type="checkbox"/> No additional fee is required for this amendment.					
<input type="checkbox"/> Please charge Deposit Account No. _____ in the amount of \$ _____. A duplicate copy of this sheet is enclosed.					
<input checked="" type="checkbox"/> A check in the amount of \$ _____ to cover the filing fee is enclosed.					
<input type="checkbox"/> Payment by credit card. Form PTO-2038 is attached.					
<input checked="" type="checkbox"/> The Director is hereby authorized to charge and credit Deposit Account No. <u>50-0911</u> as described below. A duplicate copy of this sheet is enclosed.					
<input checked="" type="checkbox"/> Credit any overpayment.					
<input checked="" type="checkbox"/> Charge any additional filing or application processing fees required under 37 CFR 1.16 and 1.17.					
 George G. Ballas Attorney Reg. No.: 52,587			Dated: November 1, 2004		
MCKENNA LONG & ALDRIDGE LLP 1900 K Street, N.W. Washington, DC 20006 (202) 496-7500					
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